

Pennsylvania 529 Investment Plan Annual Exchange/Future Contribution (Allocation) Form



- You can easily exchange existing assets or change your future contribution allocation online at **www.PA529.com** or by telephone instead of completing this form.
- Complete **Section 2** to exchange existing assets to a new investment option. You can do this only once in a calendar year.
- Complete **Section 3** to change your allocation instructions for future contributions. You can do this as often as you wish.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at **www.PA529.com**. Or you can call us to order any form at **1-800-440-4000**. Return this form to: **Pennsylvania 529 Investment Plan, P.O. Box 55378, Boston, MA 02205-5378**. For overnight delivery or registered mail, send to: **Pennsylvania 529 Investment Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

1. Account Information

Account Number

Name of Account Owner (first, middle initial, last)

Daytime Telephone Number

Evening Telephone Number

Name of Beneficiary (first, middle initial, last)

REMEMBER TO SIGN IN SECTION 4.



* P A E X C H A N G E *

2. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and how you want the assets reinvested.
- You may **choose up to five investments for your account**.
- Remember, federal law allows account owners to make only one exchange each calendar year.
- For more information on the investment options, refer to the Disclosure Statement (available at www.PA529.com) or call us at **1-800-440-4000**.

Note: This exchange applies only to assets currently held in your account; it will not change the allocation of your future contributions.

Exchange FROM	Investment Selection	Exchange TO
<p><i>(Check "All" or indicate the percentage of your current investment that you want moved to a new investment.)</i></p>		
<p>All <input type="checkbox"/> OR Percentage <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p>Age-Based Options:</p> <p>Aggressive Age-Based Option</p> <p>Moderate Age-Based Option</p> <p>Conservative Age-Based Option</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p>Individual Portfolios</p>		
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p>Stock Portfolios:</p> <p>Aggressive Growth Portfolio</p> <p>Total Stock Market Index Portfolio</p> <p>Social Index Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p>Balanced Portfolios:</p> <p>Growth Portfolio</p> <p>Moderate Growth Portfolio</p> <p>Conservative Growth Portfolio</p> <p>Income Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p>Bond Portfolios:</p> <p>Conservative Income Portfolio</p> <p>Inflation-Protected Securities Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p>Short-Term Investments Portfolio:</p> <p>Money Market Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
TOTAL		<input type="text"/> <input type="text"/> <input type="text"/> %

3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions will be invested.
- You can invest your contributions in age-based options, individual portfolios, or a combination of these. Refer to the Disclosure Statement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose. Use whole percentages only.
- Your instructions will remain in effect until you change them online at www.PA529.com or submit a new Annual Exchange/Future Contribution (Allocation) Form.

Note: This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

Age-Based Options:

Aggressive Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %
Moderate Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %
Conservative Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %

Individual Portfolios

Stock Portfolios:

Aggressive Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Total Stock Market Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Social Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

Balanced Portfolios:

Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Moderate Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Conservative Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

Bond Portfolios:

Conservative Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Inflation-Protected Securities Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

Short-Term Investments Portfolio:

Money Market Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
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TOTAL %

Please remember to:

- Choose no more than five investments for your account.
- Use whole percentages.
- Allocate at least 5% to each investment you choose.
- Sign in **Section 4**.

4. Authorization—YOU MUST SIGN BELOW

I certify that the information provided herein is true and complete in all respects.

▶ **SIGNATURE**
Signature of Account Owner

- -
Date (month, day, year)

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