

# Pennsylvania 529 Investment Plan Annual Exchange/Future Contribution (Allocation) Form



- You can easily exchange existing assets or change your future contribution allocation online at [www.PA529.com](http://www.PA529.com) or by telephone instead of completing this form.
- Complete **Section 2** to exchange existing assets to a new investment option. You can do this only once in a calendar year. **Note:** The IRS has announced that, for the 2009 calendar year only, a 529 plan participant may make two exchanges (reallocations) among their investments within the Plan.
- Complete **Section 3** to change your allocation instructions for future contributions. You can do this as often as you wish.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at [www.PA529.com](http://www.PA529.com). Or you can call us to order any form at **1-800-440-4000** on business days from 8 a.m. to 6 p.m., Eastern time. Return this form in the enclosed envelope, or mail to: **Pennsylvania 529 Investment Plan, P.O. Box 55378, Boston, MA 02205-5378**. For overnight delivery or registered mail, send to: **Pennsylvania 529 Investment Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

## 1. Account Information

Account Number

Name of Account Owner (*first, middle initial, last*)

Daytime Telephone Number

Evening Telephone Number

Name of Beneficiary (*first, middle initial, last*)

**REMEMBER TO SIGN IN SECTION 4.**



\* P A E X C H A N G E \*

## 2. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and how you want the assets reinvested.
- You may **choose up to five investments for your account**.
- Remember, federal law allows account owners to make only one exchange each calendar year. **Note:** The IRS has announced that, for the 2009 calendar year only, a 529 plan participant may make two exchanges (reallocations) among their investments within the Plan.
- For more information on the investment options, refer to the Disclosure Statement (available at [www.PA529.com](http://www.PA529.com)) or call us at **1-800-440-4000**.

**Note:** This exchange applies only to assets currently held in your account; it will not change the allocation of your future contributions.

### Exchange FROM

*(Check "All" or indicate the percentage of your **current investment** that you want moved to a new investment.)*

<input type="checkbox"/> All	OR	<input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %

### Investment Selection

#### Age-Based Options:

- Aggressive Age-Based Option
- Moderate Age-Based Option
- Conservative Age-Based Option

#### Individual Portfolios

##### Stock Portfolios:

- Aggressive Growth Portfolio
- Total Stock Market Index Portfolio
- Social Index Portfolio

##### Balanced Portfolios:

- Growth Portfolio
- Moderate Growth Portfolio
- Conservative Growth Portfolio
- Income Portfolio

##### Bond Portfolios:

- Conservative Income Portfolio
- Inflation-Protected Securities Portfolio

##### Short-Term Investments Portfolio:

- Money Market Portfolio

### Exchange TO

*(Indicate the percentage of your total exchange that you want invested in each portfolio.)*

<input type="text"/> <input type="text"/> <input type="text"/> %
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------------------------------------------------------------------

**TOTAL**       %

### 3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions will be invested.
- You can invest your contributions in age-based options, individual portfolios, or a combination of these. Refer to the Disclosure Statement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose. Use whole percentages only.
- Your instructions will remain in effect until you change them online at [www.PA529.com](http://www.PA529.com) or submit a new Annual Exchange/Future Contribution (Allocation) Form.

**Note:** This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

#### Age-Based Options:

Aggressive Age-Based Option

   %

Moderate Age-Based Option

   %

Conservative Age-Based Option

   %

#### Individual Portfolios

##### Stock Portfolios:

Aggressive Growth Portfolio

   %

Total Stock Market Index Portfolio

   %

Social Index Portfolio

   %

##### Balanced Portfolios:

Growth Portfolio

   %

Moderate Growth Portfolio

   %

Conservative Growth Portfolio

   %

Income Portfolio

   %

##### Bond Portfolios:

Conservative Income Portfolio

   %

Inflation-Protected Securities Portfolio

   %

##### Short-Term Investments Portfolio:

Money Market Portfolio

   %

TOTAL    %

#### Please remember to:

- Choose no more than five investments for your account.
- Use whole percentages.
- Allocate at least 5% to each investment you choose.
- Sign in **Section 4**.

### 4. Authorization—YOU MUST SIGN BELOW

I certify that the information provided herein is true and complete in all respects.

▶

Signature of Account Owner

-   -

Date (month, day, year)

