

# Pennsylvania 529 Investment Plan Exchange/Future Contribution (Allocation) Form



- You can easily exchange existing assets or change your future contribution allocation online at [www.PA529.com](http://www.PA529.com) or by telephone instead of completing this form.
- Complete **Section 2** to exchange existing assets to a new investment option. You can do this twice in a calendar year.
- Complete **Section 3** to change your allocation instructions for future contributions. You can do this as often as you wish.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at [www.PA529.com](http://www.PA529.com). Or you can call us to order any form at **1-800-440-4000**. Return this form to: **Pennsylvania 529 Investment Plan, P.O. Box 55378, Boston, MA 02205-5378**. For overnight delivery or registered mail, send to: **Pennsylvania 529 Investment Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

## 1. Account Information

Account Number

Name of Account Owner *(first, middle initial, last)*

Daytime Telephone Number

Evening Telephone Number

Name of Beneficiary *(first, middle initial, last)*

**REMEMBER TO SIGN IN SECTION 4.**



## 2. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and how you want the assets reinvested.
- You may **choose up to five investments for your account**.
- Remember, federal law allows account owners to make two exchanges each calendar year.
- For more information on the investment options, refer to the Disclosure Statement (available at [www.PA529.com](http://www.PA529.com)) or call us at **1-800-440-4000**.

**Note:** This exchange applies only to assets currently held in your account; it will not change the allocation of your future contributions.

Exchange FROM	Investment Selection	Exchange TO
<p><i>(Check "All" or indicate the percentage of your <b>current investment</b> that you want moved to a new investment.)</i></p>		
<p>All <input type="checkbox"/> OR Percentage <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p><b>Age-Based Options:</b></p> <p>Aggressive Age-Based Option</p> <p>Moderate Age-Based Option</p> <p>Conservative Age-Based Option</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p><b>Individual Portfolios</b></p> <p><b>Stock Portfolios:</b></p> <p>Aggressive Growth Portfolio</p> <p>Total International Stock Index Portfolio</p> <p>Total Stock Market Index Portfolio</p> <p>Social Index Portfolio</p> <p>Real Estate Index Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p><b>Balanced Portfolios:</b></p> <p>Growth Portfolio</p> <p>Moderate Growth Portfolio</p> <p>Conservative Growth Portfolio</p> <p>Income Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p><b>Bond Portfolios:</b></p> <p>Conservative Income Portfolio</p> <p>Total Bond Market Index Portfolio</p> <p>Total International Bond Index Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p> <p><input type="checkbox"/> OR <input type="text"/> <input type="text"/> %</p>	<p><b>Short-Term Investment Portfolios:</b></p> <p>Interest Accumulation Portfolio</p> <p>Short-Term Inflation Protected Securities Index Portfolio</p>	<p><input type="text"/> <input type="text"/> <input type="text"/> %</p> <p><input type="text"/> <input type="text"/> <input type="text"/> %</p>
<b>TOTAL</b>		<input type="text"/> <b>1</b> <input type="text"/> <b>0</b> <input type="text"/> <b>0</b> %

### 3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions will be invested.
- You can invest your contributions in age-based options, individual portfolios, or a combination of these. Refer to the Disclosure Statement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose. Use whole percentages only.
- Your instructions will remain in effect until you change them online at [www.PA529.com](http://www.PA529.com) or submit a new Exchange/Future Contribution (Allocation) Form.

**Note:** This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

**Age-Based Options:**

Aggressive Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %
Moderate Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %
Conservative Age-Based Option	<input type="text"/> <input type="text"/> <input type="text"/> %

**Individual Portfolios**

**Stock Portfolios:**

Aggressive Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Total International Stock Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Total Stock Market Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Social Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Real Estate Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

**Balanced Portfolios:**

Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Moderate Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Conservative Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

**Bond Portfolios:**

Conservative Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Total Bond Market Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Total International Bond Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

**Short-Term Investment Portfolios:**

Interest Accumulation Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Short-Term Inflation Protected Securities Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

**TOTAL**     %

**Please remember to:**

- Choose no more than five investments for your account.
- Use whole percentages.
- Allocate at least 5% to each investment you choose.
- Sign in **Section 4**.

### 4. Authorization—YOU MUST SIGN BELOW

I certify that the information provided herein is true and complete in all respects.

▶   
**Signature of Account Owner**

-  -   
 Date (month, day, year)

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